FORM PTO-1390 (REV 11-98)			OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 36-1338						
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09 / 530 785										
INTE	RNATI	ONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
	F	PCT/GB98/03501	24 November 1998	4 December 1997 & 30 March 1998						
TITL	E OF	INVENTION	COMMUNICATIONS NETWOR	K						
APP	LICAN	NT(S) FOR DO/EO/US	BEDDUS et al							
Annl	icant	herewith submits to the Unite	ed States Designated/Elected Office (DO/EO/L	JS) the following items and other information:						
1.			of items concerning a filing under 35 U.S.C. 3							
2.		This is a SECOND or SUBS	SEQUENT submission of items concerning a fi	ling under 35 U.S.C. 371.						
3.	\boxtimes									
4.	\boxtimes	to the state of th								
5.	_i A co	py of the International Applic	oation as filed (35 U.S.C. 371(c)(2)).							
6.		A translation of the International Application into English (35 U.S.C. 371(c)(2)).								
		Amendments to the claims	of the International Application under PCT Art	icle 19 (35 U.S.C. 371(c)(3)).						
1		are transmitted herewith (required only if not transmitted by the International Bureau) have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made.								
		A translation of the amendments to the claims under PCT Article 19 (U S.C. 371(c)(3)).								
9.	×									
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U S C. 371(c)(5)).								
Iten	ns 11	. To 16. Below concern do	cument(s) or information included:							
11.		An Information Disclosure	Statement under 37 C.F.R. 1.97 and 1.98.							
, 12.	\boxtimes	An assignment document 37 C.F.R. 3.28 and 3.31 is	for recording. A separate cover sheet in comp included.	liance with						
13.		A FIRST preliminary amer A SECOND or SUBSEQU	ndment. ENT preliminary amendment.							
14.		A substitute specification.								
15.		A change of power of attorney and/or address letter.								
16		Other items or information	L							

526 Rec'd PCT/PTO **05** MAY 2000

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 a. A check in the amount of \$880.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 14-1140 in the amount of \$ to cover the above fees. A duplicate copy of this form is enclosed. c. A check in the amount of \$ to cover the above fees. A duplicate copy of this form is enclosed. d. Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this 										
application. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.										
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SEND ALL CORRESPONDENCE TO:										
NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 th Floor Arlington, Virginia 22201 Telephone: (703) 816-4000 Larry S. Nixon NAME										
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25,640						May 5, 2000				
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

BEDDUS et al

Atty. Ref.:

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Serial No.

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Group:

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May 5, 2000

Examiner:

For:

COMMUNICATIONS NETWORK

May 5, 2000

Assistant Commissioner for Patents Washington, DC 20231

Sir:

PRELIMINARY AMENDMENT

Prior to calculation of the filing fee and in order to place the above identified application in better condition for examination, please amend the claims as follows:

IN THE CLAIMS

Claim 3, line 1, delete "or 2".

Claims 4 and 6, line 1 of each, delete "any one of the preceding claims" and insert -- claim 1 --.

<u>REMARKS</u>

The above amendments are made to place the claims in a more traditional format.

Respectfully submitted,

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COMMUNICATIONS NETWORK

BACKGROUND TO THE INVENTION

The present invention relates to a communications system, and in particular to a heterogeneous system employing a number of different call control mechanisms and different address types.

In a conventional, homogeneous, communications network, such as the public switched telephony network (PSTN), customers have only one type of address (in this case their telephone number) and there is a single uniform call 10 control mechanism which is built into the network. The call control mechanism is used for establishing and for terminating calls and for recognising, e.g., when a called party is busy. Increasingly, however, customers have access to a range of different network technologies, each with its own address type. For example, a customer might have in addition to a telephone number a conventional IP (Internet 15 protocol) address, a multicast IP address and a URL (uniform resource locator). In general, each of these different address types has associated with it a respective call control protocol (where the term "call control" is used broadly to denote the means for establishing and terminating connections between different parties. For example, audio or visual communication between parties using conventional IP 20 addresses commonly uses the H.323 protocol, whereas for communication between broadband ATM addresses a different protocol, B-ISDN (broadbandintegrated services digital network), is used. In practice, the call control protocol which is used for a particular communication session tends to be determined by the party who initiates the session. If other parties later join a session, they are 25 then restricted to using the addressing and call control capabilities determined by the initiating party.

SUMMARY OF THE INVENTION

According to a first aspect of the present invention there is provided a method of operating a communications systems comprising:

(a) exchanging between communication terminals call control capability data, which call control capability data identifies for each respective terminal a selected one or more of a plurality of different call control protocols and different network addresses and; (b) setting up a call between the said communications terminals using call control protocols or network addresses identified in the said call control capability data.

The present invention makes it possible to use fully the capabilities of terminals in a heterogeneous communications system by providing for peer terminals to exchange data which identifies their call control and address types. This approach allows the full potential of a heterogeneous communications system to be realised, since the use of this mechanism makes it unnecessary for users to adopt the "lowest common denominator" in addressing and call control types.

This serves to encourage the use of advanced call control and addressing mechanisms offering greater flexibility, even if initially those advanced call control and addressing mechanisms are used only by a minority of terminals in the communications system.

Preferably the step of exchanging call control capability data is carried out prior to initiating call set-up.

The exchange of data might be integrated with the call set-up process, forming the initial part of that process. However, for maximum flexibility, it is preferred that the exchange is carried out independently prior to call set-up. The user might then choose not to proceed with set-up depending upon the capabilities of the or each other terminal.

Preferably a first terminal initiates the exchange of call control capability data by transmitting the call control capability data for the first terminal to a second terminal and the second terminal returns an acknowledgement to the request, which acknowledgement includes call control capability data for the second terminal.

It is found to be particularly effective to implement the exchange of data interactively, using a simple request/response.

Preferably the method includes monitoring continuously at a communications terminal a communications port and carrying out the exchange of 30 call control capability data whenever a request is received at the said port. Preferably the said step of monitoring continues after a call has been set up.

The preferred implementation further enhances the flexibility of the communications system, by allowing the exchange of capability data to be carried out at any time. This makes it possible for the system to respond, for example, to

the arrival of a new member with new communication capabilities in a multi-party communications session, or to respond to a change in the capabilities of one of the parties in an on-going session.

According to a second aspect of the present invention, there is provided a communications terminal including:

- (a) means for exchanging call control capability data with other communications terminals, which call control capability data identifies for respective terminal a selected one or more of a plurality of different call control protocols and different network addresses; and
- (b) means for setting up a call between the said communications terminal and the other communications terminal using a call control protocol or network address type identified in the call control capability data received from the said other communications terminal.

The invention also encompasses a communications system including communications terminal in accordance with the second aspect of the invention.

Methods and systems embodying the present invention will now be described in further detail, by way of example only, with reference to the accompanying drawings, in which:

Figures 1 is a schematic of a first network embodying the present 20 invention;

Figure 2 illustrates the exchange of capabiltiy data;

Figures 3a and 3b illustrate protocol stacks for systems embodying the invention;

Figures 4a and 4b show message flow sequences in systems embodying 25 the invention;

Figures 5 and 6 are diagrams showing software objects implementing the invention;

Figure 8 is a second embodiment; and

Figure 9 shows message flows in the networks of Figure 8.

A communications system 1 includes user terminals 2, 3 connected to different respective network domains 4, 5. In this example, the user terminals 2, 3 are computer workstations. The network domains in this example are broadband networks which support both ATM (asynchronous transfer modes) and IP (Internet protocol) transmission protocols. The user terminal has both a user address

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(111.111.1.113) and an ATM address (ATM1). Similarly, the second user terminal has an Internet address (123.123.1.124) and an ATM address (ATM2). The network domains are linked by a connection 6 which also supports both of these protocols. Each of the terminals 2, 3 stores a respective client capability object which records the address types and call control types which the terminal is capable of handling. The client objects in the different terminals communicate with each other using a predetermined communication protocol (in the present example Internet protocol). The exchange of address and call control capabilities between two clients is carried out independently of the call or calls in progress between a session.

As illustrated in Figure 2, the exchange mechanism is initiated when a TRANSFER.request primitive is issued by the user of the outgoing client. The TRANSFER.request from the initiating client includes the client capabilities set for the corresponding terminal. This client capability set indicates all the call control technologies and addresses supported by the terminal. The user of the incoming client is notified of the request for the exchange of client capability data by a TRANSFER. indication primitive. The user of the incoming client then initiates transfer of its capabilities using the TRANSFER.response primitive. The capabilities of the incoming terminal, that is the terminal which receives the incoming client capability exchange request, are sent back to the originating terminal using a client capability set acknowledge message. The user of the originating client is notified that the exchange of capability data has taken place by a TRANSFER. confirm primitive.

The client capability set data in the message as described above identify which of a number of predetermined address types and call control types are supported. Examples of different address types which might be supported include e-mail, URL (uniform resource locator), IP multicase, IP unicast, E.164, AESA. Examples of different call control types include H.225.0, SDP, B-ISDN Q.2971, B-ISDN ATM-F UNI, N-ISDN Q.931, PSTN BTNR 315.

Table 1 below contains a complete listing of the address and call control types supported by one implementation of the invention. As indicated in the table, different integer codes are used to identify the different respective call control and address types.

TABLE 1

Class	Data
Client	familiarName : String
	distinguishedName : String
	domainName : String
	password : String
	clientCapabilities : List
ClientCapability	
Address	addressType : Integer = 0
IP	addressType : Integer = 1
	version : String
Multicast	addressType : Integer = 2
	version : String
	timeToLive : Integer
Unicast	addressType : Integer = 3
	version : String
E164	addressType : Integer = 4
	version : String
AESA	addressType : Integer = 5
	version : String
	type: String
E-mail	AddressType : Integer = 7
URL	AddressType : Integer = 7
	SummaryText : String
CallControl	callControlType : Integer = 0
	version : String
H225	callControlType : Integer = 1
·	version: String
SDP	callControlType : Integer = 2
	version : String
BISDN	callControlType : Integer = 3
	version : String
ATM-FUNI3.1	callControlType : Integer = 4

	version : String
Q2931	callControlType : Integer = 5
	version : String
Q2971	callControlType : Integer = 6
	version : String
NISDN	callControlType : Integer = 7
	version : String
Q931	callControlType : Integer = 8
	version: String
PSTN	callControlType : Integer = 9
	version : String
BTNR315	callControlType : Integer = 10
	version : String
SMTP	callControlType : Integer = 11
НТТР	callControlType : Integer = 12

As indicated in the above table, the capabilities notified through the capability exchange mechanism may include a URL (uniform resource locator). The URL may be accessed by the terminal which initiated the capability set transfer in order to read details of further capabilities beyond those provided for in the table above. In this way the capability exchange protocol is made extensible to encompass new call protocols. The URL may also direct the terminal to resources, such as a Java applet, which may be downloaded by the terminal to facilitate its communication with the terminal which provided the URL. For example, the URL might relate to an HTTP page which includes a Java applet which displays a "call me" button. Then when the button is clicked on, a call is made from the terminal owning the URL to the other terminal.

Figures 3a and 3b illustrate the software architecture of a system embodying the invention. Each communications terminal runs a communications programme comprising a communications graphics user interface (GUI 31) on top of a communications application 32. The communications application 32 is supported by a number of resources 33 including a capability exchange module (CE) below this, a "listener module" continually monitors a predetermined socket

defined by the IP address of the communications terminal together with a 16-bit port number. The CE and listener modules may coexist with other resources such as the session invitation protocol (SIP) and H323 modules shown in Figure 3a. Capability set messages are passed between the UDP/TCP/IP layer and a capability exchange (CE) module. The listener module communicates transfer primitives to/from the CE module. In this preferred implementation, UDP (unreliable datagram protocol) is used rather than TCP (transport control protocol) for transporting the capability set data across the network. This avoids the overheads involved in setting up a TCP data stream. However this approach then requires that packets should be re-transmitted if not acknowledged after a predetermined period, to allow for the possibility of packet loss. Figure 3b shows message flows across different API's (application programmer's interfaces) as capability data is exchanged between two terminals. The transfer primitives shown in Figure 2 correspond to the API between the application layer (implemented using the Java language in this example) and the lower layers of the protocol stack.

Figures 4a and 4b show in further detail the sequence of message flows between terminals A and B in different implementations of the invention. In the sequence of Figure 4a, the exchange of capability data takes place prior to a session being established. Immediately after the exchange of capability data, a call is set-up using, e.g., the sequence of messages defined for an ISDN protocol such as H.320, in the case where the capability data indicated that both parties had this call control capability. In the second example, illustrated in Figure 4b, following the exchange of capability data, and prior to a call being set-up using, e.g., the H.323 call control protocol, Session Invitation Protocol (SIP) to establish the session.

Figures 5 and 6 are diagrams using the Rational ROSE (Rational Object-oriented Software Engineering) formalism to define software objects for implementing the embodiments discussed above. The structure shown may be compiled using the ROSE software tool which is available commercially from Rational Software Corp. of Santa Clara, California to generate, e.g., C++ code to form the basis of an implementation of the invention. As shown in Figure 6, a client object which as instantiated on each terminal includes clientcapabilityset and clientcapabilityreturn methods, which methods are inherited by a client capability

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object. The properties of the client capability object are in turn inherited by address and call control objects as shown in Figure 5.

As an alternative to the direct transfer of client capability data, this may be effected via a directory. This method is described in the co-pending European application 97309810.6 filed 4 December 1997 (agent's reference A25527) the contents of which are incorporated herein by reference. Figure 7 illustrates an embodiment in which the exchange of data is mediated by a directory platform 7. The directory platform 7 is connected to the network by a link 8 which transports IP data between the network and the directory platform 7. The other components of the network are as previously described with respect to Figure 1.

In use, customers at user terminals 2,3 both register with a directory server which, in this example, runs on the directory platform 7. Subsequently, as will be further described below, when a customer at user terminal 2 wishes to contact the customer registered at user terminal 3, then a request is submitted to the directory server. This request is transmitted to the IP address of the directory platform 7. The request includes data, such as the customer name, which identifies the called customer. The directory server uses this data to select a corresponding user profile which was created when the customer registered with the directory server. From the selected user profile the directory server returns to the calling customer the network addresses and call control capabilities of the called customer. Using this information the calling customer sets up a call to the other customer. For example, the calling customer in this instance may choose to establish a connection to the ATM address (ATM2) using the ATM call control protocol (Q.2931).

Figure 8 shows the message flows between a local client, the directory platform (or "server") 7 and a remote client in this embodiment, as the local client registers its call control capability with the directory, and the remote client subsequently reads the call control capability data.

CLAIMS

- 1. A method of operating a communications systems comprising:
- (a) exchanging between communication terminals call control capability 5 data, which call control capability data identifies for each respective terminal a selected one or more of a plurality of different call control protocols and different network addresses and;
- (b) setting up a call between the said communications terminals using call control protocols or network addresses identified in the said call control 10 capability data.
 - 2. A method according to claim 1, in which the step of exchanging call control capability data is carried out prior to initiating call set-up.
- 15 3. A method according to claim 1 or 2, in which a first terminal initiates the exchange of call control capability data by transmitting the call control capability data for the first terminal to a second terminal and the second terminal returns an acknowledgement to the request, which acknowledgement includes call control capability data for the second terminal.

4. A method according to any one of the preceding claims, including monitoring continuously at a communications terminal a communications port and carrying out the exchange of call control capability data whenever a request is received at the said port.

- 5. A method according to claim 4, in which the monitoring of the communications port continues after a call has been set up.
- 6. A method according to any one of the preceding claims, including 30 communicating as part of the said call control capability data a pointer to a source of further data identifying capabilities not provided for directly in the call control capability exchange protocol.

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- 7. A method according to claim 6, in which the pointer is a uniform resource locator (URL).
- 8. A communications terminal including:
- (a) means for exchanging call control capability data with other communications terminals, which call control capability data identifies for a respective terminal a selected one or more of a plurality of different call control protocols and different network addresses; and
- (b) means for setting up a call between the said communications 10 terminal and the other communications terminal using a call control protocol or network address type identified in the call control capability data received from the said other communications terminal.
- A communications network including a communication terminal according to
 claim 8.
 - 10.A communications network comprising a plurality of communication terminals, in which different ones of the plurality of communications terminals support different respective call control protools, and in which each of the communications terminals includes:
 - (a) means for exchanging call control capability data with other communications terminals, which call control capability data identifies for a respective terminal a selected one or more of the plurality of different call control protocols and different network addresses; and
- 25 (b) means for setting up a call between the said communications terminal and the other communications terminal using a call control protocol or network address type identified in the call control capability data received from the said other communications terminal.

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ABSTRACT

Communications Network

In a communications network, communications terminals exchange call control capability data which mutually identifies selected call control protocols and network address types. The terminals subsequently set up a call using protocols chosen from those identified. Preferaby the terminals continuously monitor for a request for the exchange of such data, allowing other parties to join a session subsequently, after the initial set up.

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Figure 1

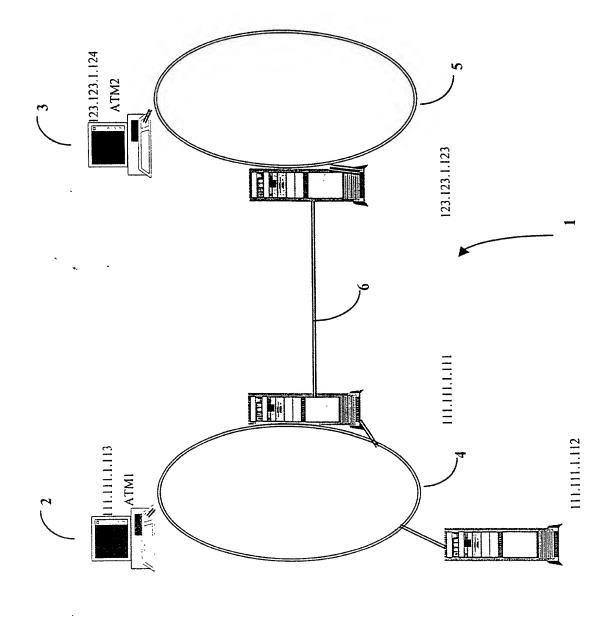
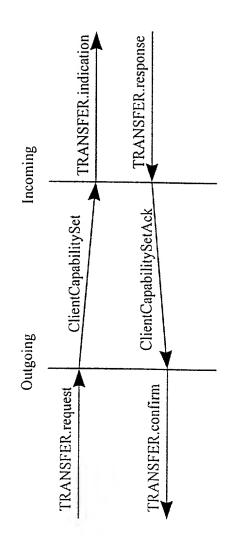


Figure 2



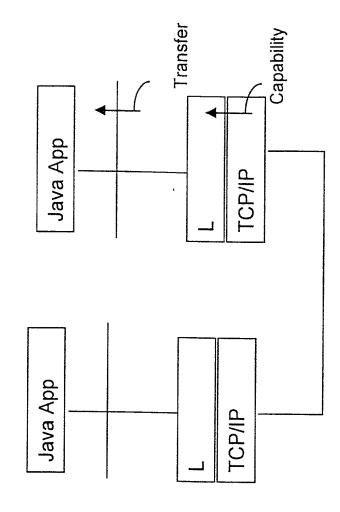


Figure 3b

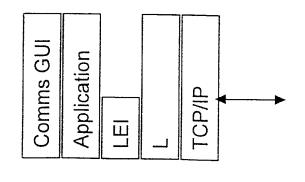


Figure 3a

A Figure 4b

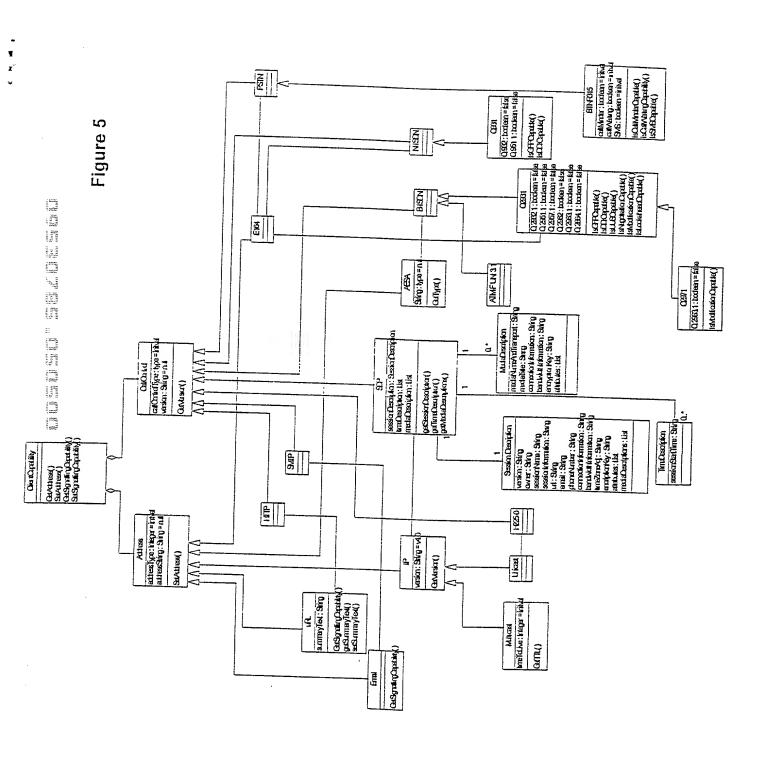


Figure 6

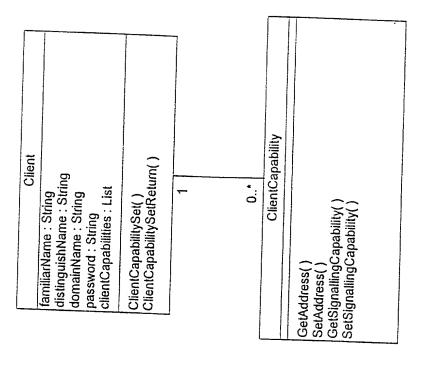
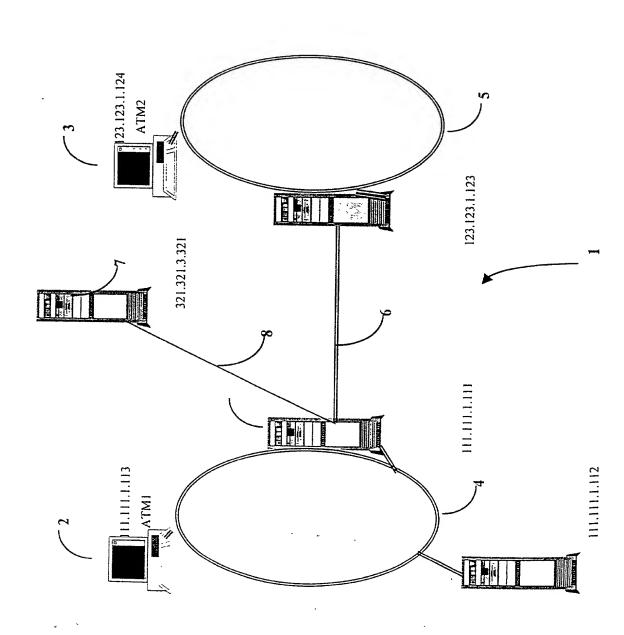


Figure 7



Remote client, searching for Local Client i.e. PC Workstation, STB : Client Directory Server located within domain, ISP or Public Network : Directory address and call control signalling 1: RegisterProfileRequest (Integer, String, String, String, List) 2; RegisterProfileResponse (Integer, Integer) Figure 8 3: UpdateProfileRequest (Integer, String, String, String, List) 4: UpdateProfileResponse (Integer, Integer) 5: RemoveClientCapabilityRequest (Integer, String, String, String, List) 6: RemoveClientCapabilityResponse (Integer, 7: UpdateProfileRequest (Integer, String, String, List) 8: UpdateProfileResponse (Integer, Integer) 9: SearchProfileRequest (Integer, String, String, 10: SearchProfileResponse (Integer, List) 11: GetClientCapabilityRequest (Integer, String, String) 12: GetClientCapabilityResponse (Integer, clientCapabilities List) 13: UnregisterProfileRequest (Integer, String, String, String) 14; UnregisterProfileResponse (Integer, Integer) 15: SearchProfileRequest (Integer, String, String, String) 16: SearchProfileResponse (Integer, List) 17: GetClientCapabilityRequest (Integer, String, String)

18: GetClientCapabilityResponse (Integer, clientCapabilities List)

RULE 63 (37 C.F.R. 1.63) DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **COMMUNICATIONS NETWORK**the specification of which (check applicable boy(ex)):

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[] was f		as U.S.	Application Serial No.				
	filed as PCT internation		CT/ GB98/03501 on 2	4 November 1998			
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<u>983024</u>	134.4		EP		30 Mar	en 1998	
•	claim the benefit under tion Number	35 U.S.C.\$119(e) of	any United States provision Day/Month/Y	• • • • • • • • • • • • • • • • • • • •	pelow.		
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P rior U.	S./PCT Application(s)	:			Status: pa	itented	
	tion Serial No.		Day/Month/Y	ear Filed	-	abandoned	
PCT/C	GB98/03501		24 Novem	ber 1998			
number collectiv resulting Richard Mitchard	(703) 816 -4000 (to well my attorneys to proper patent: Arthur R Cra G Besha, 22770; Maril, 29009; Duane M. Byo	chom all communications that the second that t	& VANDERHYE P.C., 1: tions are to be directed), and and to transact all busin S. Nixon, 25640; Robert A. S. Michael J. Keenan, 32 non, 33626; Jeffry H. Nelso ridson, 33489; Alan M. Kag	and the following attorness in the Patent and TA. Vanderhye, 27076; 106; Bryan H. Davidson, 30481; John R. Lasto	neys thereof (of Trademark Offic James T. Hosm on, 30251; Stan ova, 33149; H. V	the same address) e connected therever, 30184; Robert ley C.Spooner, 27 Warren Burnam Jr	individually and with and with the W.Faris, 31352; 393; Leonard C. 29366; Thomas
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